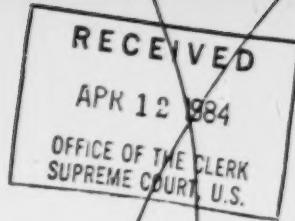


83-6575

ORIGINAL



IN THE

SUPREME COURT OF THE UNITED STATES

October Term, 1983

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No. 83-\_\_\_\_\_

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JOHN H. PLATH

Petitioner,

v.

STATE OF SOUTH CAROLINA,

Respondent.

---

PETITION FOR A WRIT OF CERTIORARI  
TO THE SUPREME COURT OF SOUTH CAROLINA

---

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QUESTION PRESENTED

In this capital sentencing proceeding, was petitioner's Sixth Amendment right to the assistance of counsel violated when a jury view of the scene of the crime was conducted in the absence of both petitioner and his counsel?

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PETITION FOR A WRIT OF CERTIORARI  
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Petitioner John H. Plath prays that a Writ of Certiorari issue to review the judgment of the Supreme Court of South Carolina in this case.

CITATION TO OPINION BELOW

The opinion of the Supreme Court of South Carolina is as yet unreported. The slip opinion, State v. Plath, Opinion No. 22027 (S.C., Jan. 17, 1984), is reproduced in the Appendix to this petition at A-1 to A-. The per curiam order of the Supreme Court of South Carolina dated February 10, 1984, denying petitioner's timely petition for rehearing is unreported.

JURISDICTION

The judgment of the South Carolina Supreme Court was entered on Jan. 17, 1984. A timely petition for rehearing was denied on February 10, 1984. This Court's jurisdiction is invoked pursuant to 28 U.S.C. §1257(3), petitioner having asserted below and asserting herein deprivation of rights secured by the United States Constitution.

CONSTITUTIONAL AND STATUTORY PROVISIONS INVOLVED

1. This case involves the Sixth Amendment to the Constitution

of the United States, which provides in pertinent part:

In all criminal prosecutions, the accused shall enjoy the right . . . to be confronted with the witnesses against him . . . and to have the assistance of counsel for his defense.

It further involves the Fourteenth Amendment to the Constitution of the United States, which provides in pertinent part:

"No state shall...deprive any person of life, liberty or property without due process of law..."

2. This case also involves S.C. Code §§16-3-20 et seq. (1983 Cum. Supp.), which statutory provisions are set forth in the Appendix to this petition at A-13.

#### STATEMENT OF THE CASE

Petitioner John H. Plath and a co-defendant, John David Arnold, were convicted of murder and sentenced to death in the court of general sessions for Beaufort County, South Carolina, in January, 1979. At trial, the state alleged and the jury found that petitioner and Arnold were guilty of murdering one Betty Gardner while in the commission of the crime of kidnapping. On appeal to the South Carolina Supreme Court, the convictions were affirmed, but the death sentences were reversed due to improper prosecution jury argument, State v. Plath, 277 S.C. 126, 284 S.E.2d 221 (1981), and the case was remanded to the circuit court for resentencing.

The resentencing trial was conducted before a new jury impanelled pursuant to the provisions of S.C. Code §16-3-25(E) (1983 Cum. Supp.). The resentencing jury recommended that petitioner and Arnold be sentenced to death, and their death sentences were affirmed by the South Carolina Supreme Court. State v. Plath, Opinion No. 22027 (S.C., filed January 17, 1984). The issue presented in this petition arose during petitioner's resentencing proceeding.

The state's evidence was to the effect that petitioner, his co-defendant Arnold and two female accomplices picked up the victim while she was hitch-hiking, and conveyed her to a remote wooded area where they committed various acts of physical

and sexual abuse upon her and then murdered her.<sup>1</sup> The state alleged as statutory aggravating circumstances that the murder had been committed while in the commission of kidnapping and assault with intent to ravish. In the course of its efforts both to establish the existence of these statutory aggravating circumstances and to show that the circumstances of the crime considered as a whole warranted imposition of the death penalty,<sup>2</sup> the prosecutor moved that the jury be taken to view the scene of the murder, stating to the trial judge that "the actual scene of the crime is particularly important." During this colloquy, the solicitor advised the court that the area surrounding the scene had been changed considerably. Counsel for both defendants opposed the motion for a jury view. Transcript of Record, resentencing trial, State v. Plath (hereinafter cited as "Tr.") 1957. A short time later, counsel for petitioner Plath reiterated his objection to a jury view of the scene on the grounds that the changes made in the area since the time of the crime would mislead the jury. The prosecutor responded that the spot where the crime was committed had not been changed, and that the scene was relevant "particularly as to the kidnapping feature of [the state's case], it goes to show that they were at the most God forsaken place that there is in the world, I believe, to take this woman out." After hearing these arguments of counsel, the court granted the state's motion for a jury view over the objections of both defendants. Tr. 2141.

<sup>1</sup> Although all four subjects were alleged to have participated in these acts, the state granted immunity to the two females in exchange for their co-operation and testimony against petitioner and Arnold.

<sup>2</sup> South Carolina's capital sentencing statute, which is in all pertinent respects identical to the Georgia statute considered in Gregg v. Georgia, 428 U.S. 153 (1976) and Zant v. Stephens, U.S. \_\_\_, 103 S.Ct. 2733 (1983), requires that the prosecution establish the existence of at least one statutory aggravating circumstance beyond a reasonable doubt in order to authorize imposition of the death penalty. Once one or more statutory aggravating circumstances are so established, the sentencer is free to consider all of the evidence in the case related to the particular crime and to the individual defendant in determining whether or not death should be imposed. S.C. Code §16-3-20(c) (1983 Cum. Supp.). State v. Shaw, 273 S.C. 194, 255 S.E.2d 799, cert. denied, 444 U.S. 957 (1979); State v. Spann, \_\_\_ S.C. \_\_\_, 308 S.E.2d 518, 521 (1983).

Counsel for Plath then inquired as to whether the attorneys would be permitted to be present at the view, to which the trial judge responded in the negative. This colloquy ended with a suggestion by the court that some agreement might be reached with respect to the ground rules for the jury view. Tr. 2142. Shortly thereafter, counsel for Plath renewed their request that counsel be permitted to attend the jury view, arguing that the officers who took the jury to the scene might otherwise make prejudicial statements to the jury. Tr. 2143-44. The trial judge responded that he would "make some arrangements that will be satisfactory to all of you, I assure you," but did not reverse his prior ruling denying counsel's request that they be permitted to accompany the jury to the scene. Tr. 2144. Following a lunch recess, the trial judge announced that he would accompany the jury to the scene together with the chief investigating police officer for the state, and he secured defense counsel's agreement to certain details of how the view would be conducted. During this discussion defense counsel did not attempt to reopen the issue of whether they should be permitted to accompany the jury during the view, and the trial judge did not reconsider his decision barring counsel from attending the view. Tr. 2144-45, 2286-91.

The jury view was ultimately conducted the following morning, prior to the presentation of the petitioner Plath's case in mitigation. No recording or transcript was made of the proceedings during the jury view, and the record contains no indication of what transpired.

After returning from the view, the jury heard the remainder of the testimony, arguments of counsel and the judge's sentencing instructions. It then returned a binding sentencing recommendation of death. In its sentencing verdict, the jury recited its conclusion that the murder had been committed while in the commission of the statutory aggravating circumstances of kidnapping and assault with intent to ravish.

#### HOW THE FEDERAL QUESTION WAS RAISED AND DECIDED BELOW

As noted above, petitioner first raised the issue presented

here by his counsel's requests at trial that they be permitted to accompany the jury during the view. On appeal to the South Carolina Supreme Court, petitioner and his co-defendant Arnold filed a joint Supplemental Memorandum of Law in which they asserted that their death sentences should be vacated and their cases remanded for resentencing on the grounds that

the trial judge's action in denying the defense request that counsel be permitted to accompany the jury when it was taken to the scene of the crime denied them their Sixth Amendment right to the assistance of counsel at that critical stage of the proceedings against them.

In addition, petitioner and Arnold asserted that

because [their] rights at the jury view were not protected by the presence of counsel, and because no record of the proceedings had at the scene was made, the conducting of the jury view outside of the presence of appellants themselves denied them their constitutional right to be present at their own trial, in violation of the Sixth and Fourteenth Amendments.

State v. Plath, Appellants' Supplemental Memorandum of Law, at 3. The state responded to these arguments in part by attempting to assert an alleged procedural bar, and by urging that the state supreme court not consider the merits of the claim. State v. Plath, Respondent's Return to Appellants' Supplemental Memorandum of Law, at 1-2. The South Carolina Supreme Court implicitly rejected the state's procedural argument and reached the merits of petitioner's claims, in keeping with its longstanding practice of considering all allegations of error in capital cases, whether or not timely raised. State v. Gilbert, 273 S.C. 690, 258 S.E.2d 890 (1979); State v. Adams, 277 S.C. 115, 283 S.E.2d 582 (1981); State v. Patterson, 278 S.C. 319, 295 S.E.2d 264 (1982). The court held, however, that the procedure followed at petitioner's resentencing trial did not violate either his right to be present or his right to counsel. State v. Plath, Opinion No. 22027 (S.C., filed January 17, 1984).

*Actual findings*  
In its opinion, the state court characterized this case as one in which neither counsel nor the defendants had objected to the conducting of the view in their absence or requested to be taken along, and held that under such circumstances the exclusion of counsel and the defendants raised no constitutional

issue. In so ruling, the court relied primarily on Snyder v. Massachusetts, 291 U.S. 97 (1934), a case that did not involve any allegation of a denial of the right to counsel. Since the state court's conclusion appeared to be premised at least in part upon its belief that petitioner's counsel had at no time requested that they be permitted to accompany the jury during the view, petitioner filed a timely petition for rehearing in which he pointed out, inter alia, that

*Check*  
[d]espite the Court's statement that counsel for appellants did not request to accompany the jury on its view of the scene, the record reflects that appellant Plath's attorney expressly inquired as to whether the trial judge would permit defense counsel to accompany the jury, and when the trial judge responded in the negative, defense counsel argued that the presence of counsel was essential to protect appellant's rights. Tr. 2142, lines 8-11; Tr. 2143, line 16 to 2144, line 7.

State v. Plath, Petition for Rehearing of Appellant Plath at 1-2. This petition for rehearing was denied without opinion.

#### REASONS FOR GRANTING THE WRIT

This Court has never before had occasion to consider the effect of a denial of the right to be represented by counsel at a jury view in a criminal case. It is plain that the issue does not turn on whether, as the South Carolina Supreme Court emphasized, a jury view is considered a "taking of testimony," State v. Plath, Opinion No. 22027 (S.C., filed January 17, 1984), citing State v. Suber, 89 S.C. 100, 71 S.E. 466 (1911), since the right to counsel guaranteed to a state criminal defendant by the Sixth and Fourteenth Amendments, Gideon v. Wainwright, 372 U.S. 335 (1963), adheres at every critical stage of the proceedings against him, whether these proceedings are conducted before, during or after his trial. U.S. v. Wade, 388 U.S. 218 (1967) (pretrial identification procedure); Estelle v. Smith, 451 U.S. 454, 469-71 (1981) (pretrial psychiatric examination); Hamilton v. Alabama, 368 U.S. 52 (1961) (arraignment); White v. Maryland, 373 U.S. 59 (1963) (preliminary hearing); Mempa v. Rhay, 389 U.S. 129 (1967) (sentencing); Douglas v. California, 372 U.S. 353 (1963) (appeal). This Court has summarized these

Sixth Amendment principles as meaning

that in addition to counsel's presence at trial, the accused is guaranteed that he need not stand alone against the State at any stage of the prosecution, formal or informal, in court or out, where counsel's absence might derogate from the accused's right to a fair trial.

United States v. Wade, 388 U.S. 218, 226-227 (1967) (footnote omitted). "The right to the assistance of counsel has . . . been given a meaning that ensures to the defense in a criminal trial the opportunity to participate fully and fairly in the adversary factfinding process." Herring v. New York, 422 U.S. 853, 858 (1975).

It is plain that a jury view of the scene of the crime constitutes a "stage of the prosecution . . . where counsel's absence might derogate from the accused's right to a fair trial."

United States v. Wade, supra. As noted by defense counsel in this case, any jury view poses the danger that the jury will be influenced by the words or actions of the officers showing the scene, by the way in which the scene is exhibited, or by the condition of the scene at the time of the view. While the trial judge's statement to the effect that no discussions would be permitted at the scene may have reduced somewhat the possibility of such prejudice, it remains true that in the absence of counsel, petitioner was left with no way of knowing whether the trial judge's orders had in fact been complied with, or whether he had been prejudiced in any other aspect of the view. This is all the more true where, as here, no record was made of any of the events which transpired during the jury view.

Moreover, even if nothing improper or prejudicial occurred during the view, petitioner was still entitled to have his attorneys know what the jurors had seen so as to be able to make an informed assessment of whether additional evidence or testimony should be presented, cf. Green v. Georgia, 442 U.S. 95 (1979), or whether any aspect of the scene called for comment or discussion during closing argument. Herring v. New York, 422 U.S. 853 (1975). The state attempted to use the scene of the crime in aid of its efforts to persuade the jury to sentence petitioner to death.

and it necessarily follows that petitioner was entitled to meet this attempt with the assistance of counsel. This conclusion is all the more inescapable in view of the solicitor's insistence at trial on the importance of the scene in the state's efforts to establish the aggravating circumstances on which it based its request for the death penalty. Having prevailed in this argument at trial when it persuaded the trial judge to order a jury view over petitioner's objection, the state should not now be heard to argue that the jury view was so unimportant a facet of the trial that petitioner was not entitled to be represented by counsel when it occurred.

*(red)*  
*(star)*

The error committed by the South Carolina Supreme Court arose from its confusion of the right of a criminal defendant to be present at a jury view, see Snyder v. Massachusetts, 291 U.S. 97 (1934) with the right to be represented by counsel at such a view. See United States v. Walls, 443 F.2d 1220, 1223 n.3 (6th Cir. 1971). Snyder v. Massachusetts, supra, upon which the state supreme court professed reliance, actually underscores the importance of the distinction, since in Snyder a key ingredient of the Court's conclusion that the personal presence of the accused was not constitutionally required was the fact that defense counsel accompanied the jury. The presence of counsel, together with the availability of a verbatim transcript of all proceedings had at the scene, were held by the Snyder court to eliminate all danger to the accused that "a fair and just hearing would be thwarted by his absence," id. at 108, and the denial of these protections in the case at bar render Snyder inapposite.

This case is also readily distinguishable from the Court's recent decision in Rushen v. Spain, \_\_\_\_ U.S. \_\_\_, 104 S.Ct. 453 (1983). In Rushen, the Court assumed without deciding that both the defendant's right of presence and right to counsel had been violated by an undisclosed ex parte conference between a judge and juror, but reversed a grant of habeas corpus relief because a post-trial hearing in the trial court had adequately established

that these constitutional violations were harmless beyond a reasonable doubt. No such hearing occurred in this case, and the record affords no other basis to support a finding that the exclusion of petitioner's attorneys from the jury view was harmless. The state court affirmed petitioner's death sentence here not because it felt that the exclusion of his attorneys from the jury view to have been harmless error, but because it did not believe the exclusion to have been erroneous in the first instance.

A further point of distinction between Rushen and the case at bar derives from the nature of the matters from which counsel was excluded. In Rushen, the ex parte proceedings did not involve the presentation of evidence or any attempt to influence the jury to accept the prosecution's factual contentions at trial: rather, the sole issue presented was whether or not a particular juror was impartial. The trial judge's finding that the juror was in fact impartial concluded the matter, and eliminated any possibility of prejudice from the exclusion of the defendant and his counsel from the conferences between the judge and the juror. In this case, by contrast, counsel and petitioner were excluded not from a determination of a procedural issue such as the impartiality of a juror, but from a proceeding which was intended and designed to influence the jury's view of the facts of the case. Thus the harmless-error analysis of Rushen is plainly inapplicable here.

Similarly, the constitutional violation which occurred here is not susceptible to the type of harmless-error analysis which is appropriate to cases in which a violation of the right to counsel has produced particular testimony or pieces of evidence. United States v. Wade, 388 U.S. 218 (1967) (pretrial identification); United States v. Henry, 447 U.S. 264 (1980) (incriminating statements); and see Rushen v. Spain, \_\_\_ U.S. \_\_\_, 104 S.Ct. 453, 461 n.7 (1983) (Stevens, J., concurring). This is so because a reviewing court cannot say with any degree of certainty what the actual effect of the jury view conducted in counsel's absence

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jury's verdict.

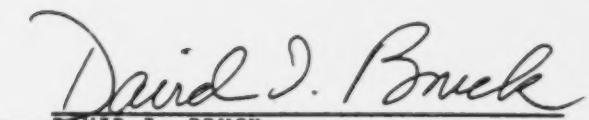
Moreover, even were the deprivation of petitioner's right to be represented by counsel at the jury view susceptible of a harmless-error determination, no such determination was made in this case. Since the trial court and the state supreme court failed to recognize the presence of any constitutional error in the exclusion of petitioner's counsel, there has been no occasion to determine whether this error can be said beyond a reasonable doubt to have been without prejudice to petitioner's substantial rights. Chapman v. California, 386 U.S. 18 (1967).

In its present posture, therefore, this case does not turn on whether the exclusion of counsel from a jury view represents one of that class of violations of the Sixth Amendment right to counsel which can never be deemed to constitute harmless error, Gideon v. Wainwright, 372 U.S. 335 (1963); Glasser v. United States, 315 U.S. 60, 76 (1942), Hamilton v. Alabama, 368 U.S. 52 (1961); White v. Maryland, 373 U.S. 59 (1963), or whether such a violation can be determined under certain circumstances to have been harmless. Coleman v. Alabama, 399 U.S. 1 (1970); Moore v. Illinois, 434 U.S. 231 (1977); United States v. Morrison, 449 U.S. 361 (1981). Whether any issue of harmless error need be considered on remand must await the response of the state, on whom the burden of proving the harmlessness of constitutional error rests. Chapman, supra. While petitioner does not believe that the state can meet such a burden in the absence of a contemporaneous record of the jury view, he would emphasize that the sole question presented by the record and by this petition is whether petitioner was constitutionally entitled to be represented by counsel when the jury viewed the scene of the crime. He submits that this Court's Sixth Amendment cases require that this question be answered in the affirmative.

CONCLUSION

The petition for writ of certiorari should be granted.

Respectfully submitted,

  
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ATTORNEY FOR THE PETITIONER

April 10, 1984.

THE STATE OF SOUTH CAROLINA  
In The Supreme Court

A-1

The State, . . . . . Respondent,  
v  
John H. Plath and John D. Arnold, . . . . . Appellants.

Appeal From Beaufort County  
Luke N. Brown, Jr., Judge

Opinion No 22027  
Heard September 12, 1983      Filed January 17, 1984 ✓

AFFIRMED

David I. Bruck, of Columbia; Joseph R. Barker, of Hilton Head; and Peter L. Fuge, of Beaufort; and Assistant Appellate Defender William Isaac Diggs, of S. C. Commission of Appellate Defense, of Columbia; and Ralph V. Baldwin, Jr., and C. Scott Gruber, both of Beaufort, for appellants.

Attorney General T. Travis Medlock, Assistant Attorney General Harold M. Coombs, Jr., and Senior Assistant Attorney General Brian P. Gibbes, all of Columbia, for respondent.

LEWIS, C.J.: Upon a previous trial, appellants were convicted of murder and were sentenced to death, the jury having found that the murder was perpetrated while in the commission of kidnapping. See Section 16-3-20(C)(a)(1)(c), Code of Laws of South Carolina, 1976. This Court affirmed the convictions but remanded the case for retrial as to sentence. State v. Plath, 277 S. C. 126, 284 S. E. 2d 221. Appellants have again been sentenced to death: Arnold, upon a jury finding of kidnapping; Plath, upon jury findings of kidnapping and assault with intent to ravish. Section 16-3-20(C)(a)(1)(b) and (c), Code. We affirm the sentences.

Appellants claim that errors arose at four points in the trial: (1) in the disqualification of certain jurors; (2) in certain evidentiary rulings by the trial court; (3) in certain conduct of the Solicitor; and (4) in the submission of aggravating circumstances to the jury. Under each of these headings multiple exceptions are presented.

(1) Disqualification of Jurors

It is contended that three specific jurors were excused in violation of the requirements established by Witherspoon v. Illinois, 391 US 510, 88 S Ct 1770, 20 L Ed 2d 776. The first of these jurors was questioned by defense counsel concerning his ability to "consider" the death penalty. This Court has repeatedly said that the test of a juror's qualification under 16-3-20(E), Code, is the ability to both reach a verdict of either guilt or

innocence and to, if necessary, vote for a sentence of death. The ability to merely "consider" these possibilities is not sufficient to qualify a prospective juror. State v. Tyner, 273 S. C. 646, 651, 258 S.E. 2d 559, 562; State v. Goolsby, 275 S. C. 110, 116, 268 S. E. 2d 31, 35; State v. Linder, 276 S. C. 304, 313, 278 S. E. 2d 335, 340; State v. Hyman, 276 S. C. 559, 563, 281 S. E. 2d 209, 211-212; State v. Owens, 277 S. C. 189, 192, 284 S. E. 2d 584, 586; State v. Koon, 278 S. C. 528, 532, 298 S. E. 2d 769, 771; State v. Copeland, 278 S. C. 572, 579, 300 S. E. 2d 63, 67, cert. denied, \_\_\_ US \_\_\_, 103 S Ct 1802, 76 L Ed 2d 367.

This same juror was in due course asked the appropriate questions by the trial court--

Question: . . . Would you vote for the death penalty--

Answer: No, sir.

Question: --and write your name?

Answer: No sir, no sir.

We find these responses fully warranted disqualification of the juror.

The next juror was a retired official of the United States government who appeared experienced, well informed and quite capable of articulating his beliefs. He stated flatly, "Your Honor, I feel as though I could not recommend death, whatever the circumstances." He was then asked, "it's your feeling that you could never bring in the death penalty?" To this he responded, "That is correct, sir." It is significant that no objection was made to dismissal of this juror and that, in fact, counsel for defendant Plath declined to question him, stating, "we are not going to waste his time." In like manner, the third juror was excused without objection after she repeatedly and emphatically stated she could not put a defendant to death.

We take note of the acquiescence by defense counsel because the context of these disqualifications is important. In this trial over one hundred prospective jurors were interviewed, each being questioned at great length and in depth on a wide range of topics. Subsequent to this trial, our Court announced two opinions emphasizing the authority and duty of trial judges to focus the scope of voir dire upon matters enumerated in Section 14-7-1020, Code, and to eliminate excessive intrusions upon the privacy of prospective jurors. State v. Koon, 278 S. C. 528, 532, 298 S. E. 2d 769, 771; State v. Smart, 278 S. C. 515, 522-523, 299 S. E. 2d 686, 690-691, cert. denied \_\_\_ US \_\_\_, 103 S Ct 1784, 76 L Ed 2d 353.

These appellants were granted leave to examine jurors far above and beyond any constitutional and statutory entitlements. We note in passing that of the twelve members of the panel which actually served in this case, five members during voir dire expressed serious reservations about capital punishment and/or a preference for life imprisonment. Two others stressed the gravity of the decision they faced and expressed a very strong desire to base the decision upon

complete and convincing evidence. We are impressed by the singular fairness and impartiality of this jury panel and are satisfied that the disqualifications of which the appellants complain were proper, in accordance with law, and in no way worked to their prejudice.

(2) Evidentiary Rulings.

Multiple errors are claimed under this heading: (a) introduction of each appellant's criminal record; (b) refusal to admit a tape cassette offered by appellant Plath; (c) reference on cross-examination to other death penalty trials; and (d) conduct of the jury visit to the scene of the crime.

(a) Appellant Arnold offered in mitigation the testimony of Dr. Peter Neidig, a clinical psychologist, who related his assessment of the defendant based upon personal interviews. On direct examination the witness disclosed what he had learned about Arnold's juvenile offenses. On cross-examination the State continued the inquiry and brought to light Arnold's adult offenses together with the fact that he was an escapee at the time he committed this murder. Defense objected to the inquiry, while admitting the "door" had been "opened" to it, and was properly overruled. The State is not required to accept, without cross-examination, a partial history such as was here presented by the defendant. *State v. Allen*, 266 S. C. 468, 484, 224 S. E. 2d 881. Dr. Neidig himself stated that he had reviewed with Arnold the entire course of his criminal career. Thus the testimony of the witness was left incomplete and fragmentary by the defendant and was only made whole through subsequent inquiry by the State. We find no abuse of discretion in the trial court's ruling on this point.

The criminal record of appellant Plath came into evidence by a different route. At the original trial of this case, appellant Plath took the witness stand during the guilt phase and on direct examination revealed his own prior criminal record. He now complains that this same information, exactly as he volunteered it, was placed before the jury in the sentencing trial presently on appeal.

One of Plath's chief witnesses in mitigation was Officer Charles Robertson of the City Police Department in York, Pennsylvania. On direct examination, Officer Robertson testified in some detail concerning Plath's criminal record, all for the purpose of explaining how he came to know the defendant and in order to then discuss Plath's subsequent religious activities. Significantly, the State did not cross-examine this witness concerning appellant's record. We are at a loss to understand how Plath can complain about the admission of his criminal record when the same information would have inevitably been introduced in the course of his own showing in mitigation.

This Court has given serious consideration to the complaints of both appellants because they reflect a profound misconception of the capital sentencing trial as such. Section 16-3-20(B), Code, governs original trials as to sentence: "In the sentencing proceeding, the jury or judge shall hear additional evidence in extenuation, mitigation or aggravation of the punishment." Section 16-3-25(E), Code, provides for retrial as to sentence, such as here occurred:

In the resentencing proceeding, the new jury, if the defendant does not waive the right of a trial jury for the resentencing proceeding, shall hear evidence in extenuation, mitigation or aggravation of the punishment in addition to any evidence admitted in the defendant's first trial relating to guilt for the particular crime for which the defendant has been found guilty.

Information as to a defendant's record of previous criminal convictions has always been deemed relevant to the process of imposing sentence upon a plea or verdict of guilt. So fundamental is this proposition that it requires no citation of authority, but we note in passing that the current A. B. A. Standards for Criminal Justice assume the relevance of such information in guidelines on jury trials (Standard 15-3.4) and on presentence reports (Standard 18-5.1). Appellants place a wholly misconceived reliance upon *Henry v. Wainwright*, 661 F. 2d 56, for no claim is made that a prior criminal record constitutes an "aggravating circumstance" in South Carolina.

The position taken by appellants would lead to an inconsistent result: the defendant convicted of an aggravated murder would enjoy greater protection in the determination of his sentence than a defendant found guilty of far lesser offenses. We decline to adopt such a view and instead hold that information concerning prior criminal convictions shall be admissible as additional evidence during the sentencing or resentencing phase of a capital trial under our statute.

We find, therefore, that Plath's criminal record was properly admitted in accordance with our statute and the course of the previous conviction trial.

(b) Appellant Plath offered testimony of several witnesses to his jailhouse religious conversion and to his subsequent good works of Christian devotion. Apparently Plath made or took part in making two inspirational tape cassettes for use in instructional programs aimed at youthful offenders. He declined to introduce one of the tapes, contending it contained prejudicial matter, and he was successful in preventing its admission by the State. In turn, when Plath offered the second tape, the Solicitor objected, and the objection was sustained. Thus, neither tape was played to the jury, and Plath claims error in the second (but not the first) ruling of the trial court.

We find neither an abuse of discretion nor any prejudice to the appellant. The jury heard at great length about appellant's religious pursuits, including the tapes, and the beneficial results thereof. The jury had every opportunity to weigh these matters in mitigation. Actual playing of the tapes would have been merely cumulative and would in effect have constituted self-serving, unsworn testimony by the appellant which he had no right to offer.

(c) Appellant Arnold objects to the cross-examination of his expert witnesses in which other death penalty trials were mentioned by name. Apparently these witnesses had presented similar testimony in other cases, to no avail it seems since those defendants also were sentenced to death. Arnold now argues that reference to other capital cases introduced an arbitrary factor into this trial.

The testimony at issue concerned the effectiveness and propriety of capital punishment. This Court has held such testimony to be improper and impermissible. State v. Gilbert, 277 S. C. 53, 58, 283 S. E. 2d 179, 181, cert. denied, 456 US 984, 102 S Ct 2258, 72 L Ed 2d 863; State v. Woomer, 278 S. C. 468, 473, 299 S. E. 2d 317, 320, cert. denied, \_\_\_\_ US \_\_\_, 103 S Ct 3572, 77 L Ed 2d 1413. Assuming, however, that the defendants were permitted to attack capital punishment broadside, it was not improper for the State to cross-examine defense experts as necessary to reveal the bases and weaknesses of their testimony. State v. Plath, 277 S. C. 126, 141, 284 S. E. 2d 221, 229.

Arnold's experts testified to declining execution rates and claimed to have discovered an international trend away from capital punishment. In light of these global assertions, it was a proper response for the State to point out that the same experts had themselves been unable to persuade a few dozen jurors across the State. We see no merit in the appellant's claim of prejudice.

(d) Appellants allege error in the conduct of the jury visit to the scene of the crime. At trial, counsel for the appellants opposed the State's motion for a jury view, which objection was overruled in the proper exercise of the court's discretion. See Section 14-7-1320, Code of Laws of South Carolina, 1976. At no time did counsel for the appellants request permission to accompany the jury or to have the appellants do so. All arrangements for the jury view were thoroughly discussed with counsel in the presence of the defendants, and no hint of opposition on their part was expressed. Indeed, the record reflects that shortly before this colloquy, counsel for appellant Plath requested that the next day's proceedings commence later than usual to enable him to consult with witnesses. This particular request was denied, yet the effect of the jury view without counsel or defendants created the delay they had actually sought.

Appellants contend that the jury view in their absence and that of counsel worked a denial of constitutional rights to counsel and confrontation. Appellants acknowledge that in Snyder v. Massachusetts, 291 US 97, 54 S Ct 330, 78 L Ed 674, the United States Supreme Court held that a jury view of the crime scene does not constitute part of a trial for purposes of a defendant's due process right to be present. With specific regard to the simple inspection such as here occurred, Justice Cardozo wrote for the Snyder majority:

The Fourteenth Amendment does not assure to a defendant the privilege to be present at such a time. There is nothing he could do if he were there, and almost nothing he could gain . . . If the risk of injustice to the prisoner is shadowy at its greatest, it ceases to be even a shadow when he admits that the jurors were brought to the right place and shown what it was right to see.

291 US at 108, 54 S Ct at 333, 78 L Ed at 679-680.

Despite the passage of almost fifty years since the Snyder decision, the appellants can direct us to no federal decision that has yet determined a case of this sort raises constitutional principles. The only federal case cited

by appellants is U.S. v. Walls, 443 F. 2d 1220, in which the court expressly declined to rule on constitutional issues and instead rested its reversal upon the power to supervise other federal courts.

We adhere to this Court's holding in State v. Suber, 89 S. C. 100, 106, 71 S. E. 466, that a jury view of the scene is not a taking of testimony. Constitutional protections are not implicated or denied when, as here, the trial judge in fact accompanies the jury in the absence of defendants and their counsel, there having been neither an objection to the arrangement nor even a request to be taken along. With respect to this exception of appellants, the conclusion of Justice Cardozo's Snyder opinion merits some thought:

There is danger that the criminal law will be brought into contempt--that discredit will even touch the great immunities assured by the Fourteenth Amendment--if gossamer possibilities of prejudice to a defendant are to nullify a sentence pronounced by a court of competent jurisdiction in obedience to local law . . . .

291 US at 122, 54 S Ct at 338, 78 L Ed at 687.

### (3) Misconduct of the Solicitor

Appellants contend they suffered prejudice by reason of prosecutorial misconduct in several particulars: (a) cross-examination and jury argument suggesting appellants might escape or be released unless put to death; (b) jury argument concerning appellants' failure to testify; (c) jury argument referring to this Court's opinion in State v. Plath, 277 S. C. 126, 284 S. E. 2d 221; (d) generally inflammatory speech in closing argument.

(a) Two episodes of cross-examination are involved in this appeal. The first incident occurred after the direct examination of Professor Bruce Pearson, an anthropologist at the University of South Carolina, whose opinion was that life imprisonment represented a mode of expressing societal disapproval superior to capital punishment. Counsel for Arnold specifically questioned the witness about life imprisonment in South Carolina as he had observed it in the course of five years as a regular, weekly visitor to the Central Correctional Institute in Columbia. The following passage is illustrative (with emphasis added):

Question: Now, should this jury bring back a life imprisonment verdict, would John move into other quarters?

Answer: Well, as the prison is now set up, people serving life terms would be sent to either the old cell block or one of the barrack type wards.

Question: Would John's life or quality of living actually improve if he went into the general population or would it even get worse?

Answer: Well, it's hard to say . . . when you know that they are going to lock the key and close the door behind you and you are not going to leave [it] doesn't make too much difference if they have you in a big luxury hotel, if you were locked in, it would still be a prison and I can assure that CCI is not a luxury hotel.

Question: If Mr. Arnold went to general population, would his life improve markedly?

Answer: Well, that's a difficult question to answer. In some ways it would improve but in other ways it would remain the same, I think.

Question: We are still dealing with loss of liberty.

Answer: That's right.

Immediately following this exchange, and in response to questions by counsel for Plath, the witness described life imprisonment as a form of slavery.

Clearly the thrust of this defense testimony was to demonstrate the permanence and deprivation entailed in life imprisonment. Since the witness claimed an intimate knowledge of CCI, and based his testimony upon that knowledge, it was not amiss for the State to pursue his claim more closely. In the course of this inquiry the allegedly prejudicial matter arose. Specifically the witness was asked if he had investigated the case of a particular inmate who had escaped while serving a life term. The escape evidently took place while the inmate was performing errands outside the prison walls. Portions of the offensive interrogation follow:

Question: Now, what I want to know Doctor, knowing you were going to come back here and testify and knowing that I told you about Lewis Bostick . . . did you investigate it to see whether or not when you send somebody to life imprisonment at the penitentiary, it doesn't really mean they are confined in a cell for the rest of their life, have you investigated that?

Answer: That is really not an area that I have taken as part of my studies.

Question: Well, why wouldn't it, doctor, wouldn't that be important to tell a jury . . . if you tell them they are going to be locked up in a cell the rest of their life, wouldn't it be important to know that that's not a correct statement?

Answer: (No response.)

## THE STATE V. PLATH, ET AL.

This identical line of questioning was the subject of exception in State v. Plath, 277 S. C. 126, 140-141, 283 S. E. 2d 221, 229. On that occasion we held the inquiry to be proper as a test of the information upon which the witness based his opinion. After the passage of three years, and in light of the expert's claimed familiarity with the life imprisonment regime, we believe the State and the jury were doubly entitled to know why the witness had not investigated this rather extraordinary episode. His unexplained failure to do so was directly relevant to his credibility as an unbiased social scientist.

Appellants next protest the State's cross-examination of witness Cathy Brazell, a social worker engaged in prison ministry. Mrs. Brazell testified about her religious work with appellant Plath and her belief in the genuine character of his religious conversion. On direct examination she also related that her son had been the victim of a tragic slaying, stressing thereby her belief in prayer and forgiveness. On cross-examination, the State produced a letter written to the Solicitor by the same witness and prompted by her own son's death. In the letter she complained that the multiple murderer, Donald H. "PeeNee" Gaskins, was allowed to move freely about the prison as a messenger boy notwithstanding his compound life sentences. See State v. Gaskins, 270 S. C. 296, 242 S. E. 2d 270.

Appellants strenuously object to this cross-examination and to subsequent jury argument based upon it. They contend the subject matter was irrelevant and not responsive to any testimony of defense witnesses. They believe themselves to have been prejudiced by the introduction of an arbitrary factor into the sentencing deliberations--that is, speculation as to possible escape or premature release. We find that the record, read as a whole, fails to support appellants' claims.

The course of this trial dramatically demonstrates why this Court has prohibited testimony on the theory and propriety of capital punishment as such. In this case, the defense sought to portray life imprisonment as preferable to capital punishment as a matter of social policy. With the exception of Dr. Neidig's testimony, the entire defense of appellant Arnold was based upon an attempted showing that capital punishment was an ineffective instrument of deterrence, a crude device for expressing social disapproval, and even a counterproductive approach to control of crime. As noted above, defense witness Pearson drew a picture of life imprisonment as slavery, a condition of irretrievable loss which he invited the jury to contemplate through vivid recollection of many years' experience in teaching and counseling inmates of CCI. This testimony was elaborated and bolstered through cross-examinations by counsel for appellant Plath. Parenthetically, we note and reject Plath's inconsistent contention that he was improperly denied a limiting jury instruction on the alternative penalties. We find, moreover, that the trial judge amply explained the binding effect of a jury recommendation in both his jury instructions and in the individual voir dire of jurors.

It is our settled view that such a defense is highly improper, for it invites the jury to intrude upon the strictly legislative function of determining the nature of crime and punishment under our Constitution. In the sentencing phase of a capital case, the jury shall understand the terms "life imprisonment" and "death sentence" in their ordinary and plain meaning without elaboration.

In the sentencing phase of a capital case, the function of the jury is not to legislate a plan of punishment but to make the "either/or" selection called for by the language of 16-4-20(A), Code, which in pertinent part provides: "A person who is convicted of or pleads guilty to murder shall be punished by death or by imprisonment for life. . . ." Such determinations as the time, place, manner, and conditions of execution or incarceration, as well as the matter of parole are reserved by statute and our cases to agencies other than the jury. As we have repeatedly stated, the sole function of the jury in a capital sentencing trial is the individualized selection of one or the other penalty, based upon the circumstances of the crime and characteristics of the individual defendant.

By way of illustration, in State v. Woomer, 278 S. C. 468, 299 S. E. 2d 317, 319, we recently held that psychiatric evidence of future dangerousness was not prejudicial in the circumstances of that case. Subsequently the United States Supreme Court in Barefoot v. Estelle, US, 103 S Ct 3383, 3396, 77 L Ed 2d 1090, 1107, has recognized the utility of such testimony under the view that, "What is essential is that the jury have before it all possible relevant information about the individual whose fate it must determine."

On the other hand, this Court has held irrelevant testimony regarding a defendant's potential adjustment to the controlled environment of life imprisonment. State v. Koon, 278 S. C. 528, 536, 298 S. E. 2d 769, 773. The distinction lies in the lack of logical connection between adaptability to confinement and the specific personality or character traits which were instrumental in leading the defendant to commit the particular crime at issue. The dividing line is fine indeed, yet not impossible of discernment. A jury needs to know how a given defendant came to commit a given aggravated murder, to include aspects of his background, his character and the setting of the crime itself which may explain or even mitigate the conduct of which he has been found guilty. A jury does not need to know how often he will take a shower or whether or not he will be lonely and withdrawn during his tenure at CCI.

It should not be necessary in the future for this Court to remind the bench and bar of the strict focus to be maintained in the course of a capital sentencing trial. In the case before us, defendants elected to enter the forbidden field of social policy and penology. It is neither surprising nor can it be deemed prejudicial that the State responded in kind, attempting to show through defendants' own witnesses that life imprisonment was not the total abyss which they portrayed it to be. We read both the State's cross-examinations and subsequent jury arguments in light of the record as a whole. We find nowhere that the Solicitor sought to predict or to argue that these appellants would escape or would be improvidently released. Rather, his argument, particularly in its concluding passage, simply placed before the jury the stark choice between death and life imprisonment, emphasizing that his job was concluded and that the decision was to rest with them. His references to the cross-examinations just discussed strike the candid reader as merely reminders to the jury that life imprisonment was by no means as hopeless as defendants would have it believed. The State was entitled to make this response. The appellants have shown no prejudice therefrom.

(b) Appellants contend that in the course of his jury summation the Solicitor made prejudicial reference to their failure to testify. This claim takes his arguments out of context and disregards the entire thrust of the defense. From opening argument, through cross-examination of State witnesses, to final summation, counsel for both appellants stressed repeatedly the "unfairness" in the prosecution of this case. With indignation they pointed to the grant of immunity received by State's witness, Cindy Sheets, in contrast to the prospect of death for their clients. We cannot fault the defendants for offering to the jury this potentially persuasive argument. By the same token, however, we cannot deny the State an opportunity to explain the development of the case and the absolute necessity for testimony and cooperation by witness Sheets. This was done through examination of witnesses and argument to the jury.

In final summation, the Solicitor did indeed refer to the fact that neither Plath nor Arnold would be testifying in the trial, a statement which drew objection from the defense and a prompt apology to the jury by the Solicitor. We believe that any possible prejudice was cured, and we decline to adopt appellants' view that the Solicitor's apology somehow made matters worse. Beyond this, we note that the jury was amply instructed by the trial court concerning the right of a defendant to remain silent and put the State to its proof. Accordingly we find, in the circumstances, that the Solicitor's argument worked no prejudice to the appellants.

(c) Appellants object to portions of the Solicitor's argument which referred to this Court's decision in State v. Plath, 277 S. C. 126, 284 S. E. 2d 221, particularly as we addressed the jury instruction on kidnapping as delivered in the original trial. Appellants interpret the argument as an effort to invoke the authority of this Court to preclude an independent jury determination on the aggravating circumstance of kidnapping. We find, however, that the passages complained of are not susceptible to this interpretation but are instead simply efforts by the State to explain the function of the jury at this trial and to argue to the jury the elements of kidnapping which the State had sought to prove. We see no way in which the jury in this case could have been misled by these arguments, especially in light of the trial judge's ample instructions both in the course of voir dire and at the conclusion of the evidence.

(d) Finally, appellants complain of rhetorical flourishes engaged in by the Solicitor in his summation, especially his expressed hope that the jurors would have the "guts to do your job." An inelegant turn of phrase or momentary lapse of good taste will rarely constitute prejudicial error, nor does robust language necessarily inject an arbitrary factor into a trial such as this one. Finding no prejudice here, we dismiss these claims of error as frivolous.

#### (4) Aggravating Circumstances.

Appellants complain first that the aggravating circumstance of kidnapping lacked any evidentiary basis in the record. The argument is specious. Section 16-3-910, Code (1982 Supp.), includes among the operative definitions of kidnapping the words "inveigle" and "decoy" along with such terms as "seize," "confine" and "abduct." The statute was read to the jury by the Solicitor and

again read and explained by the trial judge. In its arguments the State emphasized the "confinement" of the victim, a fact sufficiently demonstrated by the testimony to raise a jury question. Such argument would not preclude the jury, however, from finding that the victim was lured--"inveigled" and "decoyed"--to her death by the appellants. If the jurors believed the State's witnesses, the victim was invited into the appellants' car after they had formed and expressed the intention to carry away and murder her.

Appellant Plath claims that the trial judge improperly submitted the aggravating circumstance of "assault with intent to ravish" in light of the fact that the only aggravating circumstance submitted at the original sentencing trial was kidnapping. Section 16-3-20(C), Code, requires the trial judge to submit any statutory aggravating circumstances "which may be supported by the evidence." Appellant argues that the trial judge should sua sponte submit such circumstances of aggravation whether or not suggested by the State. On this premise he reasons that failure of the first trial judge to do so amounted to a finding that the evidence could not support the charge.

We reject this argument for a number of reasons. To begin with, the language of 16-3-20(C), Code, vests the trial judge with no authority or duty to add circumstances of aggravation to those submitted by the State. On the contrary the statute merely directs the trial court not to invade the jury province and not to withhold aggravating or mitigating circumstances which may be supported by the evidence. Williams v. State, 228 S. E. 2d 806 (Georgia). Secondly, we conclude that the evidence amply supported a finding of assault with intent to ravish and that the jury was clearly entitled to consider and find such aggravation in this case. We find no double jeopardy issue. Assault with intent to ravish was never considered by the previous jury, thus precluding any notion that appellant Plath had once been acquitted thereof.

We find no prejudice to appellant Plath from the submission of an additional aggravating circumstance during this sentencing retrial. The function of aggravating circumstances in this State, as in Georgia, is to enable juries to identify death penalty candidates "in an objective, evenhanded, and substantively rational way." Zant v. Stephens, \_\_\_ US \_\_\_, 103 S Ct 2733, 2744, 77 L Ed 2d 235, 251. The statutory aggravating circumstances represent a legislative determination that certain murders are qualitatively different from all other wrongful killings. These are murders that "shake the conscience of the community." State v. Adams, \_\_\_ S. C. \_\_\_, 306 S. E. 2d 208, 215.

A jury must find at least one statutory aggravating circumstance or the death penalty shall not be imposed. Section 16-3-20(C), Code. Additional aggravating circumstances provide only alternative bases for placing a defendant in the category of persons subject to capital punishment. Additional aggravating circumstances do not, under our statute, contribute to the actual selection of the death penalty because juries in this State are not instructed to "weigh" circumstances of aggravation against circumstances of mitigation. State v. Shaw, 273 S. C. 194, 205, 255 S. E. 2d 799, 804; State v. Thompson, 278 S. C. 1, 5, 4292 S. E. 2d 581, 584; c.f., Zant v. Stephens, 297 S. E. 2d 1 (Georgia), quoted with approval in Zant v. Stephens, \_\_\_ US \_\_\_, 103 S Ct at 2739-2741, 77 L Ed 2d at 245-248.

The submission of "assault with intent to ravish" during sentencing retrial in no way prejudiced appellant Plath. Even in the absence of that aggravating circumstance, his sentence of death would receive ample support from the independent finding of kidnapping as an aggravating circumstance. Zant v. Stephens, \_\_\_ US \_\_\_, 103 S Ct at 2745-2746, 77 L Ed 2d at 253-254.

(5) Proportionality Review.

Sentences of death imposed upon appellants Plath and Arnold for this aggravated murder must be sustained in light of the crime and the individual defendants. In April 1978, the appellants came to South Carolina from Pennsylvania accompanied by two female juveniles. On the morning of April 12th, the foursome offered a ride to the victim, a black lady who was hitch-hiking to work. After leaving her at a point along the road, the appellants returned and invited her back into the car. Appellant Arnold had already expressed his desire to kill the victim because he "didn't like niggers."

The party then drove to a remote dump site where the crime was committed. Testimony revealed that the victim was beaten and kicked, cursed and otherwise verbally abused. She was forced to strip naked, to perform acts of oral sex upon Plath and upon one of the young females, all the while being beaten. Plath urinated in the mouth of the victim, demanding that she swallow his urine. There then followed a killing of incomprehensible savagery. The victim was beaten, kicked, stamped and jumped upon, choked (with a belt and rubber hose), and stabbed (with a pocket knife and broken bottle).

By way of mitigation, an expert witness for Arnold testified that a facial birthmark and other personality disorders led him to this crime. The same expert testified also that Arnold knew the difference between right and wrong. Plath offered testimony to his unhappy childhood with an allegedly drunken divorced mother and without positive male guidance during his formative years. Plath also submitted evidence of his religious activities described above. The State countered, in part, with Plath's previous admission that he had been wearing a cross at the time of this crime.

The jury was appropriately instructed to consider all evidence in mitigation. We are satisfied that the jury was disposed to and did in fact give every beneficial consideration to which these appellants were entitled. The trial judge noted for the record that some jurors shed tears at the announcement of the verdicts. Yet when polled, none wavered in holding to the decisions. The trial judge found that the verdicts were supported by the evidence and were not based on passion, prejudice or any other arbitrary factor. We agree and add that the recommended sentences are wholly commensurate with this crime. Lacking precisely identical cases with which to compare these verdicts, we are convinced that the sentence of death is neither excessive nor disproportionate in light of this crime and these defendants. The atrocious nature of this murder resembles in some respects the cases of State v. Shaw, 273 S. C. 194, 255 S. E. 2d 799, and State v. Woomer, 278 S. C. 468, 299 S. E. 2d 317. As in those cases, the sentences of death are affirmed.

LITTLEJOHN, NESS, GREGORY and HARWELL, JJ., concur.

S.C. Code §§16-3-20 et seq.

S.C. Code §16-3-20. Punishment for murder: separate sentencing proceeding to determine whether sentence should be death or life imprisonment.

(A) A person who is convicted of or pleads guilty to murder shall be punished by death or by imprisonment for life and shall not be eligible for parole until the service of twenty years, notwithstanding any other provisions of law. Provided, however, that notwithstanding the provisions of this section, under no circumstances shall a female who is pregnant with child be executed so long as she is in that condition.

(B) Upon conviction or adjudication of guilt of a defendant of murder, the court shall conduct a separate sentencing proceeding to determine whether the defendant should be sentenced to death or life imprisonment. The proceeding shall be conducted by the trial judge before the trial jury as soon as practicable after the lapse of twenty-four hours unless waived by the defendant. If the trial jury has been waived by the defendant and the State, or if the defendant pleaded guilty, the sentencing proceeding shall be conducted before the court. In the sentencing proceeding, the jury or judge shall hear additional evidence in extenuation, mitigation or aggravation of the punishment. Only such evidence in aggravation as the State has made known to the defendant in writing prior to the trial shall be admissible. This section shall not be construed to authorize the introduction of any evidence secured in violation of the Constitution of the United States or the State of South Carolina or the applicable laws of either. The State, the defendant and his counsel shall be permitted to present arguments for or against the sentence of death. The defendant and his counsel shall have the closing argument regarding the sentence imposed.

(C) The judge shall consider, or he shall include in his instructions to the jury for it to consider, any mitigating circumstances otherwise authorized or allowed by law and any of the following statutory aggravating and mitigating circumstances which may be supported by the evidence:

(a) Aggravating circumstances:

(1) Murder was committed while in the commission of the following crimes or acts: (a) rape, (b) assault with intent to ravish, (c) kidnapping, (d) burglary, (e) robbery while armed with a deadly weapon, (f) larceny with use of a deadly weapon, (g) housebreaking, and (h) killing by poison and (i) physical torture;

- (2) Murder was committed by a person with a prior record of conviction for murder;
- (3) The offender by his act of murder knowingly created a great risk of death to more than one person in a public place by means of a weapon or device which would normally be hazardous to the lives of more than one person;
- (4) The offender committed the offense of murder for himself or another, for the purpose of receiving money or any other thing of monetary value;
- (5) The murder of a judicial officer, former judicial officer, solicitor, former solicitor, or other officer of the court during or because of the exercise of his official duty;
- (6) The offender caused or directed another to commit murder or committed murder as an agent or employee of another person;
- (7) The offense of murder was committed against any peace officer, corrections employee or fireman while engaged in the performance of his official duties.

(b) Mitigating, circumstances:

- (1) The defendant has no significant history of prior criminal conviction involving the use of violence against another person.
- (2) The murder was committed while the defendant was under the influence of mental or emotional disturbance;
- (3) The victim was a participant in the defendant's conduct or consented to the act;
- (4) The defendant was an accomplice in the murder committed by another person and his participation was relatively minor;
- (5) The defendant acted under duress or under the domination of another person;
- (6) The capacity of the defendant to appreciate the criminality of his conduct or to conform his conduct to the requirements of law was substantially impaired;
- (7) The age or mentality of the defendant at the time of the crime;
- (8) The defendant was provoked by the victim into committing the murder;
- (9) The defendant was below the age of eighteen at the time of the crime.

The statutory instructions as to aggravating and mitigating circumstances shall be given in charge and in writing to the jury for its deliberation. The jury, if its verdict be a recommendation of death, shall designate in writing, and signed by all members of the jury, the aggravating circumstance or circumstances which it found beyond a reasonable doubt. In nonjury cases the judge shall make such designation. Unless at least one of the statutory aggravating circumstances enumerated in this section is so found, the death penalty shall not be imposed. Where a statutory aggravating circumstance is found and a recommendation of death is made, the court shall sentence the defendant to death. The trial judge, prior to imposing the death penalty, shall find as an affirmative fact that the death penalty was warranted under the evidence of the case and was not a result of prejudice, passion, or any other arbitrary factor. Where a sentence of death is not recommended by the jury, the court shall sentence the defendant to life imprisonment. In the event that all members of the jury after a reasonable deliberation cannot agree on a recommendation as to whether or not the death sentence should be imposed on a defendant

found guilty of murder, the trial judge shall dismiss such jury and shall sentence the defendant to life imprisonment. The jury shall not recommend the death penalty if the vote for such penalty is not unanimous.

\* \* \* \* \*

S.C. Code §16-3-25. Punishment for murder: review by Supreme Court of imposition of death penalty.

(A) Whenever the death penalty is imposed, and upon the judgment becoming final in the trial court, the sentence shall be reviewed on the record by the Supreme Court of South Carolina. The clerk of the trial court, within ten days after receiving the transcript, shall transmit the entire record and transcript to the Supreme Court of South Carolina together with a notice prepared by the clerk and a report prepared by the trial judge. The notice shall set forth the title and docket number of the case, the name of the defendant and the name and address of his attorney, a narrative statement of the judgment, the offense, and the punishment prescribed. The report shall be in the form of a standard questionnaire prepared and supplied by the Supreme Court of South Carolina.

(B) The Supreme Court of South Carolina shall consider the punishment as well as any errors by way of appeal.

(C) With regard to the sentence, the court shall determine:

- (1) Whether the sentence of death was imposed under the influence of passion, prejudice, or any other arbitrary factor, and
- (2) Whether the evidence supports the jury's or judge's finding of a statutory aggravating circumstance as enumerated in §16-3-20, and
- (3) Whether the sentence of death is excessive or disproportionate to the penalty imposed in similar cases, considering both the crime and the defendant.

(D) Both the defendant and the State shall have the right to submit briefs within the time provided by the court and to present oral arguments to the court.

(E) The court shall include in its decision a reference to those similar cases which it took into consideration. In addition to its authority regarding correction of errors, the court, with regard to review of death sentences, shall be authorized to:

- (1) Affirm the sentence of death; or
- (2) Set the sentence aside and remand the case for resentencing by the trial judge based on the record and argument of counsel. The records of those similar cases referred to by the Supreme Court of South Carolina in its decision, and the extracts prepared as hereinafter provided for, shall be provided to the resentencing judge for his consideration. If the court finds error prejudicial to the defendant in the sentencing proceeding conducted by the trial judge before the trial jury as outlined under Item (B) of §16-3-20, the court may set the sentence aside and remand the case for a resentencing proceeding to be conducted by the same or a different trial judge and by a new jury impaneled for such purpose. In the resentencing proceeding, the new jury, if the defendant does not waive the right of a trial jury for the resentencing proceeding, shall hear evidence in extenuation, mitigation or aggravation of the punishment in addition to any

evidence admitted in the defendant's first trial relating to guilt for the particular crime for which the defendant has been found guilty.

(F) The sentence review shall be in addition to direct appeal, if taken, and the review and appeal shall be consolidated for consideration. The court shall render its decision on all legal errors, the factual substantiation of the verdict, and the validity of the sentence.



## The Supreme Court of South Carolina

CLYDE H. DAVIS, JR.  
CLERK

P.O. BOX 11930  
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February 10, 1984

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APR 12 1984

OFFICE OF THE CLERK  
SUPREME COURT, U.S.

Re: The State v. John H. Plath and John D. Arnold

Gentlemen:

The Court has this day refused your Petitions for Rehearing in the above case in the following order:

"Petitions denied.

s/ J. Woodrow Lewis C.J.

s/ Bruce Littlejohn A.J.

s/ J. B. Ness A.J.

s/ George T. Gregory, Jr. A.J.

s/ David W. Harwell A.J.

February 10, 1984"

The remittitur is being sent down today.

Very truly yours,

*Clyde H. Davis*

CLERK

CND,Jr/lbb

cc: Joseph R. Barker, Esquire  
Peter L. Fuge, Esquire  
Ralph V. Baldwin, Jr., Esquire  
C. Scott Gruber, Esquire

83-6575

Supreme Court, U.S.  
FILED  
APR 10 1984

Alexander L. Stevens, Clerk

IN THE

SUPREME COURT OF THE UNITED STATES  
OCTOBER TERM, 1983

RECEIVED  
APR 13 1984

OFFICE OF THE CLERK  
SUPREME COURT U.S.

ORIGINAL

NO. \_\_\_\_\_

JOHN H. PLATH,

Capital Case?

PETITIONER,

STATE OF SOUTH CAROLINA,

v.

RESPONDENT.

5/10/84  
MOTION FOR LEAVE TO PROCEED  
IN FORMA PAUPERIS

Petitioner, John H. Plath, respectfully moves this Court for leave to proceed herein in forma pauperis, in accordance with the provisions of Title 28, United States Code, Section 1915, and Rule 46 of this Court. The affidavit of petitioner in support of this motion is attached hereto.

Presented herewith is a petition for writ of certiorari of the moving party.

Respectfully submitted,

*David I. Bruck*  
DAVID I. BRUCK

1711 Pickens Street  
Columbia, S. C. 29201

Counsel for Petitioner.

April 10, 1984.

IN THE  
SUPREME COURT OF THE UNITED STATES  
OCTOBER TERM, 1983

NO. \_\_\_\_\_

JOHN H. PLATH,

PETITIONER,

v.

STATE OF SOUTH CAROLINA,

RESPONDENT.

AFFIDAVIT OF JOHN H. PLATH  
IN SUPPORT OF MOTION TO  
PROCEED IN FORMA PAUPERIS

I, John H. Plath, being first duly sworn, depose and say that I am the petitioner in the above-entitled case; that in support of my motion to proceed without being required to prepay fees, costs or give security therefor, I state that because of my poverty I am unable to pay the costs of said proceeding or to give security therefor; that I believe I am entitled to redress.

I further swear that the responses which I have made to the questions and instructions below relating to my ability to pay the cost of prosecuting the appeal are true.

1. Are you presently employed? No
- a. If the answer is yes, state the amount of your salary or wages per month and give the name and address of your employer.
- b. If the answer is no, state the date of your last employment and the amount of the salary and wages per month which you received.

1977      400/mo.

2. Have you received within the past twelve months any income from a business, profession or other form of self-employment, or in the form of rent payments, interest, dividends, or other source? No

a. If the answer is yes, describe each source of income, and state the amount received from each during the past twelve months.

3. Do you own any cash or checking or savings account? No

a. If the answer is yes, state the total value of the items owned.

4. Do you own any real estate, stocks, bonds, notes, automobiles, or other valuable property (excluding ordinary household furnishings and clothing)? No

a. If the answer is yes, describe the property and state its approximate value.

5. List the persons who are dependent upon you for support and state your relationship to those persons.

- None -

I understand that a false statement or answer to any questions in this affidavit will subject me to penalties for perjury.

John H. Plath  
John H. Plath

SWORN TO and subscribed before me

this 6th day of April, 1984.

David J. Bruck  
Notary Public for South Carolina

My Commission Expires: 3/17/86.